

Refinement: a reflection on proofs and computations

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Based on previous work by
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Context

- Computers are increasingly used for mathematical proofs, especially for their computational power.
For instance:
 - ▶ The four color theorem [Appel, Haken 1977; Gonthier 2008].
 - ▶ Kepler conjecture [Hales 2005].
 - ▶ The odd order theorem [Gonthier et al. 2013].
- Different tools with different purposes (really rough approximation):
 - ▶ Computer algebra software: efficient computations.
 - ▶ Automatic theorem provers: efficient logical reasoning.
 - ▶ Interactive theorem provers: sound logical reasoning.
- We want to ensure that efficient tools use sound techniques.
- Ease of use matters.

We will focus on sound and efficient computations.

Motivations

Program verification closes the gap between paper proofs and implementations:

$$(aX^n + b)(cX^n + d) = acX^{2n} + ((a + b)(c + d) - ac - bd)X^n + bd.$$



Program verification



```
Fixpoint karatsuba_rec n p q := match n with
| 0 => p * q
| n'+1 =>
  let sp := size p in let sq := size q in
  if (sp <= 2) || (sq <= 2) then p * q else
  let m := (minn sp./2 sq./2) in
  let (a,b) := splitp m p in
  let (c,d) := splitp m q in
  let ac := karatsuba_rec n' a c in
  let bd := karatsuba_rec n' b d in
  let apb := a + b in
  let cpd := c + d in
  let apb_cpd := karatsuba_rec n' apb cpd in
  (shiftp (2 * m) ac + (shiftp m (apb_cpd - ac - bd)) + bd)
end.
```

```
Definition karatsuba p q :=
karatsuba_rec (maxn (size p) (size q)) p q.
```

Motivations (cont.)

Computations shorten proof terms and make the users' life easier.

- $1 + (2 + 3) = 6$ by reflexivity instead of using the rules:

$$n + 0 = n.$$

$$n + (S\ m) = S\ (n + m).$$

- M is invertible iff $\det\ M$ is not 0.

Separation of concerns

Issues:

- Efficient algorithms are often hard to prove correct.
For instance: the Sasaki-Murao algorithm [Coquand, Mörtberg, Siles 2012].
- Structures that are adapted to proofs are often inefficient for computations.
For instance in COQ: `nat` or `MATHEMATICAL COMPONENTS` polynomials.
- We do not want to develop a theory for each representation of the same object.

Ideal world:

- 1 Develop **one** theory using well-adapted structures **independently** of what people want to compute with them.
- 2 **Reuse** this theory to get proofs on more complex structures.

- 1 CoqEAL's refinement framework
- 2 Automation
- 3 Applications

Sequence of refinement steps

$$P_1 \rightarrow P_2 \rightarrow \dots \rightarrow P_n$$

where:

In the literature

- P_1 is an **abstract** version of the program.
- P_n is a **concrete** version of the program.

In CoqEAL

- P_1 is an **proof-oriented** version of the program.
- P_n is a **computation-oriented** version of the program.

- Each P_j is correct **w.r.t.** P_{j-1} .

Two kinds of refinement

We distinguish two kinds of refinement:

- **Program refinement**: improve the algorithms without changing the data structures.
- **Data refinement**: use the same algorithms on more efficient data representations and primitives.

An important property for data refinement: **compositionality**.

Example: Karatsuba's algorithm

Program refinement:

Karatsuba's algorithm is an algorithm for fast polynomial multiplication ($O(n^{\log_2 3})$) inspired from the following equation:

$$(aX^n + b)(cX^n + d) = acX^{2n} + ((a + b)(c + d) - ac - bd)X^n + bd.$$

Specification

```
Lemma karatsubaE : forall p q : {poly A},  
  karatsuba p q = p *_{poly A} q.
```

Example: Horner's polynomials

Data refinement:

Inductive hpoly A :=

| Pc : A -> hpoly A

| PX : A -> pos -> hpoly A -> hpoly A.

$$aX^n + b \rightarrow \begin{cases} \text{PX } b \ n \ (\text{Pc } a) & \text{if } n > 0, \\ \text{Pc } (a + b) & \text{otherwise.} \end{cases}$$

Refinement relation

Definition Rhpoly A : {poly A} -> hpoly A -> Type :=
fun p hp => to_poly hp = p.

Example: Horner's polynomials (cont.)

Compositionality:

Definition `hpoly_R` A B (R : A -> B -> Type) :
 hpoly A -> hpoly B -> Type := ...

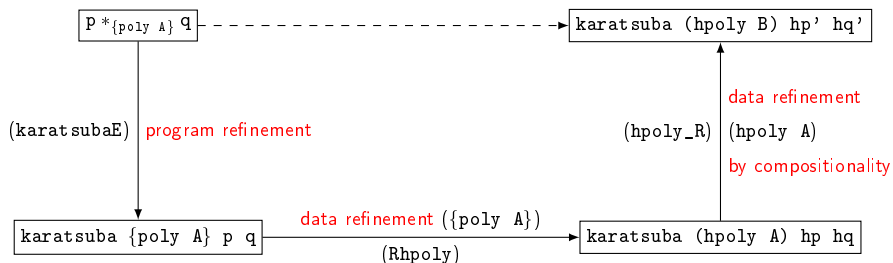
`Rhpoly` o (`hpoly_R` R) : {poly A} -> hpoly B -> Type

Example: full refinement path

```
karatsubaE : forall A (p q : {poly A}),  
  karatsuba p q = P *_{poly A} q
```

```
Rhpoly : forall A, {poly A} -> hpoly A -> Type
```

```
hpoly_R : forall A B (R : A -> B -> Type),  
  hpoly A -> hpoly B -> Type
```

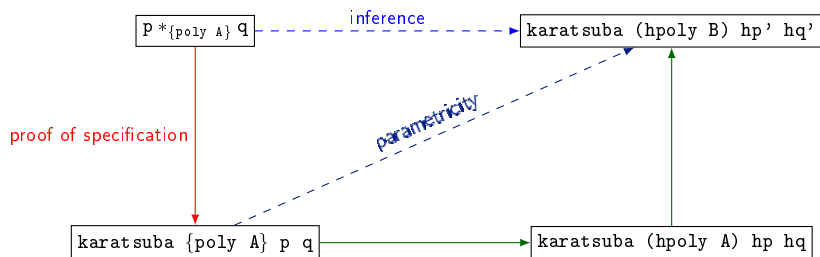


1 CoqEAL's refinement framework

2 Automation

3 Applications

Degrees of automation



User input.

Requirement: correctness of primitives.

Type classes.

Plugin: PARAMCOQ [Keller, Lason 2012].

The parametricity theorem [Reynolds 1983; Wadler 1989]

Relational interpretation for types:

$$\begin{aligned} \llbracket A \rightarrow B \rrbracket &:= \{(f, g) \mid \forall (x, y) \in \llbracket A \rrbracket. (f\ x, g\ y) \in \llbracket B \rrbracket\}, \\ \llbracket \forall X. A \rrbracket &:= \{(f, g) \mid \forall R. (f, g) \in \llbracket A \rrbracket \{R / \llbracket X \rrbracket\}\}. \end{aligned}$$

Parametricity theorem

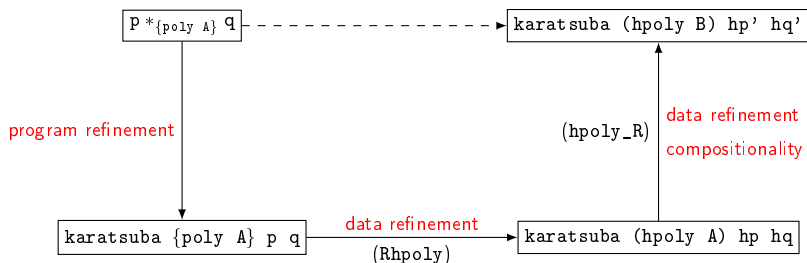
For all closed type A and all closed term t of type A , there is a term $\llbracket t \rrbracket$ of type $\llbracket A \rrbracket$.

Moreover, one can **compute** $\llbracket t \rrbracket$.

Example

Inductive hpoly A := ...

$\llbracket \text{hpoly} \rrbracket : \forall A, \forall B, \forall R : A \rightarrow B \rightarrow \text{Type}, \dots$

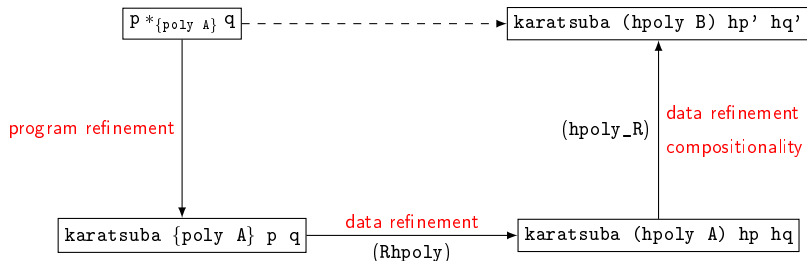


Definition hpoly_R A B (R : A → B → Type) :
hpoly A → hpoly B → Type := ...

Example

Inductive hpoly A := ...

$\llbracket \text{hpoly} \rrbracket : \forall A, \forall B, \forall R : A \rightarrow B \rightarrow \text{Type}, \dots$



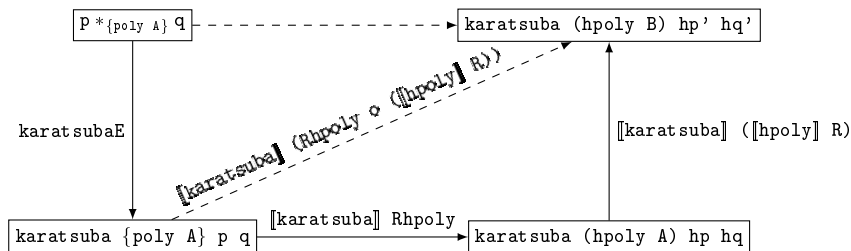
Definition hpoly_R A B (R : A → B → Type) :
 $\text{hpoly } A \rightarrow \text{hpoly } B \rightarrow \text{Type} := \llbracket \text{hpoly} \rrbracket R.$

Example (cont.)

$\llbracket \text{karatsuba} \rrbracket : \llbracket \forall P, P \rightarrow P \rightarrow P \rrbracket \text{ karatsuba karatsuba}$

i.e.

$\llbracket \text{karatsuba} \rrbracket : \forall P, \forall C, \forall R : P \rightarrow C \rightarrow \text{Type},$
 $(R \implies R \implies R) (\text{karatsuba } P) (\text{karatsuba } C)$



A type class for refinement:

```
Class refines P C (R : P -> C -> Type) (p : P) (c : C) :=
  refines_rel : R p c.
```

Program/term synthesis:

We solve by type class inference

```
?proof : refines ?relation input ?output.
```

e.g. with `input := 2 *: 'X`, we get

```
?relation := Rhpoly R,
?output := PX 0 1 (Pc 2),
?proof := prf :
  refines (Rhpoly R) (2 *: 'X) (PX 0 1 (Pc 2)).
```

Example

Global goal:

refines ?R (X + Y - (1 * Y)) ?P.

Current goal(s):

refines ?R (X + Y - (1 * Y)) ?P.

Example

Global goal:

```
refines ?R (X + Y - (1 * Y)) (?f ?P1).
```

Current goal(s):

```
refines (?S ==> ?R) (fun P => X + P) ?f,  
refines ?S (Y - (1 * Y)) ?P1.
```

Example

Global goal:

```
refines ?R (X + Y - (1 * Y)) (?g ?P2 ?P1).
```

Current goal(s):

```
refines (?T ==> ?S ==> ?R) + ?g,  
refines ?T X ?P2,  
refines ?S (Y - (1 * Y)) ?P1.
```

Example

Global goal:

```
refines R (X + Y - (1 * Y)) (?P2 +' ?P1).
```

Assuming

```
refines (R ==> R ==> R) + +'.
```

Current goal(s):

```
refines R X ?P2,  
refines R (Y - (1 * Y)) ?P1.
```

Example

Global goal:

```
refines R (X + Y - (1 * Y)) (X' + ' ?P1).
```

Assuming

```
refines (R ==> R ==> R) + +',  
refines R X X'.
```

Current goal(s):

```
refines R (Y - (1 * Y)) ?P1.
```


Example

Proven:

```
refines R (X + Y - (1 * Y)) (X' +' Y' -' (1' *' Y')).
```

Assuming

```
refines (R ==> R ==> R) + +' ,  
refines (R ==> R ==> R) - -' ,  
refines (R ==> R ==> R) * *' ,  
refines R X X' ,  
refines R Y Y' ,  
refines R 1 1' .
```

Logic programming for refinement

Rules to decompose expressions, such as

Instance refines_apply

```
P C (R : P -> C -> Type) P' C' (R' : P' -> C' -> Type) :
  forall (f : P -> P') (g : C -> C'),
    refines (R ==> R') f g ->
      forall (p : P) (c : C), refines R p c ->
        refines R' (f p) (g c).
```

Lemma refines_trans P I C (rPI : P -> I -> Type)

(rIC : I -> C -> Type) (rPC : P -> C -> Type)

(p : P) (i : I) (c : C) :

rPI o rIC <= rPC ->

refines rPI p i -> refines rIC i c ->

refines rPC p c.

Example

```
refines ?R (matrix_of_fun (fun i j => i + (i * j))) ?m
```

Example

```
refines ?R (matrix_of_fun (fun i j => i + (i * j))) ?m
```

Assume

```
refines Rord i i',  
refines Rord j j'.
```

Global goal:

```
refines ?R (i + (i * j)) (?f i' j').
```

Current goal(s):

```
refines ?R (i + (i * j)) (?f i' j').
```

Example

```
refines ?R (matrix_of_fun (fun i j => i + (i * j))) ?m
```

Assume

```
refines Rord i i',  
refines Rord j j'.
```

Global goal:

```
refines ?R (i + (i * j)) (?f i' j').
```

Current goal(s):

```
refines (?R' ==> ?R) (fun k => i + k) (?f i'),  
refines ?R' (i * j) j'.
```

Example

```
refines ?R (matrix_of_fun (fun i j => i + (i * j))) ?m
```

Assume

```
refines Rord i i',  
refines Rord j j'.
```

Solution:

```
Class unify A (x y : A) := unify_rel : x = y.  
Instance unifyxx A (x : A) : unify x x := erefl.
```

With the goal:

```
refines (?R o unify) (i + (i * j)) (?f i' j'),
```

which splits into

```
refines ?R (i + (i * j)) ?e,  
refines unify ?e (?f i' j').
```

- 1 CoqEAL's refinement framework
- 2 Automation
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Proofs by computation

```
Definition ctmat1 : 'M[int]_(3, 3) :=  
  \matrix_(i, j) ([:: [:: 1 ; 1 ; 1 ]  
                  ; [:: -1 ; 1 ; 1 ]  
                  ; [:: 0 ; 0 ; 1 ] ]'_i)'_j.
```

```
Lemma det_ctmat1 : \det ctmat1 = 2.
```

Proof.

```
by do ?[rewrite (expand_det_row _ ord0) //=  
rewrite ?(big_ord_recl,big_ord0) // = ?mxE // =;  
rewrite /cofactor /= ?(addn0, add0n, expr0, exprS);  
rewrite ?(mul1r,mulr1,mulN1r,mul0r,mul1r,addr0) /=;  
do ?rewrite [row' _ _]mx11_scalar det_scalar1 !mxE /=].  
Qed.
```


Proofs by computation

```
Definition ctmat1 : 'M[int]_(3, 3) :=  
  \matrix_(i, j) ([:: [:: 1 ; 1 ; 1 ]  
                  ; [:: -1 ; 1 ; 1 ]  
                  ; [:: 0 ; 0 ; 1 ] ]'_i)'_j.
```

Lemma det_ctmat1 : \det ctmat1 = 2.

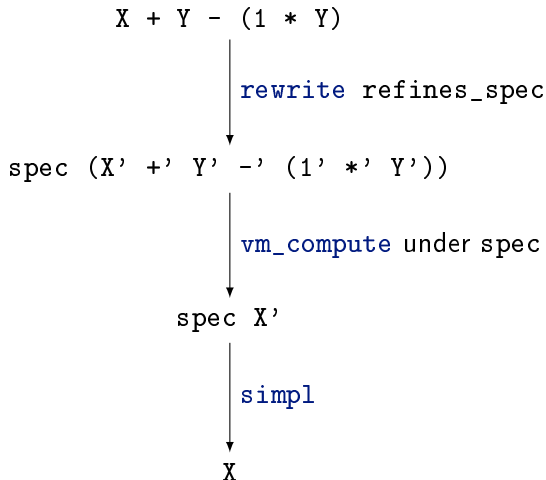
Proof. by coqeval. Qed.

or

```
Definition det_ctmat1 :=  
  [coqeval vm_compute of \det ctmat1].  
--> det_ctmat1 : \det ctmat1 = 2
```

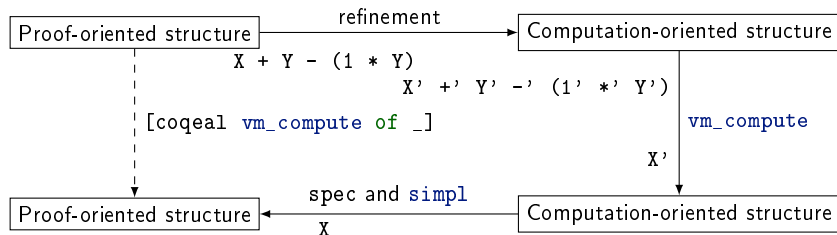
About [coqeval vm_compute of _]

Lemma refines_spec R p c : refines R p c -> p = spec c.



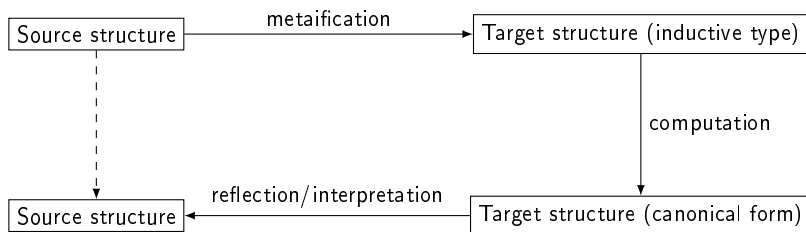
About `[coqeval vm_compute of _]`

Lemma `refines_spec` $R\ p\ c : \text{refines } R\ p\ c \rightarrow p = \text{spec } c.$



Proof by reflection

- Use computation to automate and to shorten proofs.
- Issue: ad-hoc computation-oriented data-structures and problem-specific implementations make it hard to maintain and improve reflection-based tactics.
- Our contribution: a modular reflection methodology that uses generic tools to minimise the code specific to a given tactic.
- Our example case:
 - ▶ The `ring` `COQ` tactic: a reflection-based tactic to reason modulo ring axioms (and a bit more).
 - ▶ Generic tools: the `MATHEMATICAL COMPONENTS` library and `COQEAL` refinement framework.
 - ▶ Code specific to our prototype: around 200 lines.



Metaification:

Symbolic arithmetic expressions in a ring (using $+$, $-$, $*$ and n) can be represented as multivariate polynomials over integers, together with a variable map.

$a + b - (1 * b) \longrightarrow X + Y - (1 * Y)$ with variable map $[a; b]$.

Computation:

The goal of the computation step is to normalise the obtained polynomials.

$X + Y - (1 * Y) \longrightarrow X$.

Reflection:

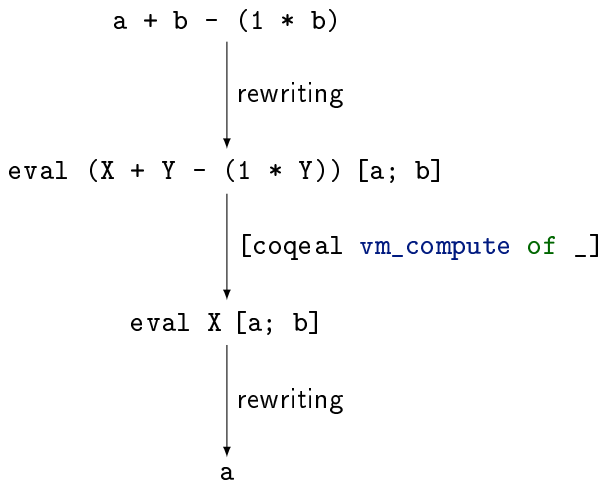
The polynomials in normal form are evaluated on the variable map to get back ring expressions.

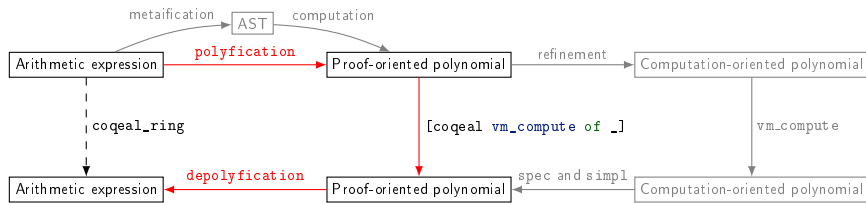
$X[a; b] \longrightarrow a$.

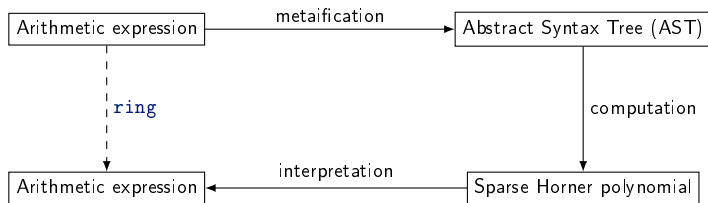
The ring of integers is a canonical choice since there is a canonical injection from integers to any ring: the ring of integers is an initial object of the category of rings.

However it may happen that another ring ($\mathbb{Z}/n\mathbb{Z}$, rational numbers...) is a better choice. For instance $a + a = 0$ is provable in the ring of booleans, using the ring of booleans itself as the ring of coefficients.

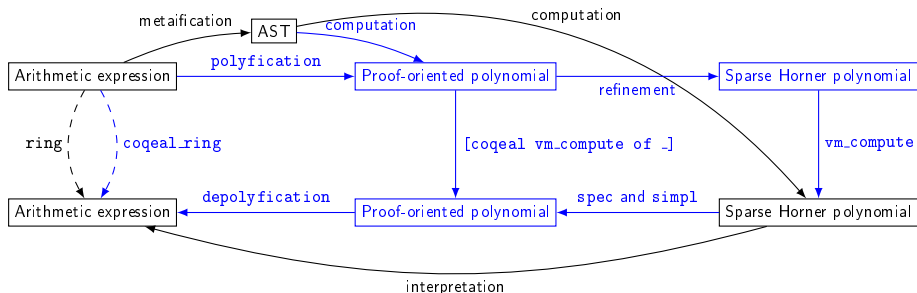
$$a + a \longrightarrow (X + X)[a] \longrightarrow ((1 + 1)X)[a] \longrightarrow (0X)[a] \longrightarrow 0.$$







Comparison



Further work

- On `coqeal_ring`:
 - ▶ Catch up with `ring`: operations such as the power function, ring of coefficients as parameter, non-commutative rings, semi-rings. . .
 - ▶ Make `coqeal_ring` efficient: refinement of the translation AST → polynomial, improved depolyfication.
 - ▶ Implement new features: morphisms, Gröbner bases (Théry, using multivariate polynomials by Strub, and a refinement by Martin-Dorel, Roux), user-defined operations. . .
 - ▶ Generalise to other decision procedures: `field?` `lra???`
- On `CoqEAL`:
 - ▶ More refinements, especially outside algebra, e.g. finite sets (Dagand, Gallego Arias).
 - ▶ Improve `CoqEAL`'s interface, e.g. a better debugging system.
 - ▶ Make refinement faster, in particular on nested structures.

Conclusion

- Efficient computations require proofs, refinement simplifies them.
- Proofs are automated by computations, reflection does that.
- Refinement is not so far from reflection.

Conclusion

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- Proofs are automated by computations, reflection does that.
- Refinement is not so far from reflection.

Thank you!

Generic programming

From

```
Record rat : Set := Rat {  
  valq : int * int ;  
  _ : (0 < valq.2) && coprime ' |valq.1| ' |valq.2|  
}
```

to

```
Definition Q Z := Z * Z.
```

Generic operation

```
Definition addQ Z +Z *Z : Q Z -> Q Z -> Q Z :=  
  fun x y => (x.1 *Z y.2 +Z y.1 *Z x.2, x.2 *Z y.2).
```

Correctness of addQ

- Proof-oriented correctness: instantiate Z with int.
- Relation Rrat: $\text{rat} \rightarrow \text{Q int} \rightarrow \text{Type}$.
- Prove the following theorem:

Lemma Rrat_addQ :

$(\text{Rrat} \implies \text{Rrat} \implies \text{Rrat}) \text{ +}_{\text{rat}} (\text{addQ int +}_{\text{int}} *_{\text{int}})$.

Correctness of addQ (cont.)

Generalization using compositionality: from the refinement relation

$\text{Rint} : \text{int} \rightarrow \text{C} \rightarrow \text{Type}$,

Definition RratC : $\text{rat} \rightarrow \text{C} * \text{C} \rightarrow \text{Type} :=$
 $\text{Rrat} \circ (\text{Rint} * \text{Rint})$.

Goal:

Lemma RratC_add :
 $(\text{RratC} \Rightarrow \text{RratC} \Rightarrow \text{RratC}) \vdash_{\text{rat}} (\text{addQ } \text{C} \vdash_{\text{C}} *_{\text{C}})$.

Correctness of addQ (cont.)

Generalization using compositionality: from the refinement relation

$\text{Rint} : \text{int} \rightarrow \text{C} \rightarrow \text{Type}$,

Definition $\text{RratC} : \text{rat} \rightarrow \text{C} * \text{C} \rightarrow \text{Type} :=$
 $\text{Rrat} \circ (\text{Rint} * \text{Rint})$.

Goal:

Lemma $\text{RratC_add} :$
 $(\text{RratC} \implies \text{RratC} \implies \text{RratC}) \text{+}_{\text{rat}} (\text{addQ } \text{C} \text{+}_{\text{C}} *_{\text{C}})$.

This splits into

$(\text{Rrat} \implies \text{Rrat} \implies \text{Rrat}) \text{+}_{\text{rat}} (\text{addQ } \text{int} \text{+}_{\text{int}} *_{\text{int}})$,

already proven and

$(\text{Rint} * \text{Rint} \implies \text{Rint} * \text{Rint} \implies \text{Rint} * \text{Rint})$
 $(\text{addQ } \text{int} \text{+}_{\text{int}} *_{\text{int}}) (\text{addQ } \text{C} \text{+}_{\text{C}} *_{\text{C}})$.

Correctness of addQ (end)

Goal:

```
(Rint * Rint ==> Rint * Rint ==> Rint * Rint)
  (addQ int +int *int) (addQ C +c *c).
```

Correctness of addQ (end)

Goal:

$$(Rint * Rint ==> Rint * Rint ==> Rint * Rint) \\ (addQ \text{ int } +_{\text{int}} *_{\text{int}}) (addQ \text{ C } +_{\text{C}} *_{\text{C}}).$$

By parametricity:

$$\llbracket \forall Z. (Z \rightarrow Z \rightarrow Z) \rightarrow (Z \rightarrow Z \rightarrow Z) \rightarrow Z * Z \rightarrow Z * Z \rightarrow Z * Z \rrbracket \text{ addQ} \\ \text{addQ},$$

i.e.

$$\forall Z : \text{Type}. \forall Z' : \text{Type}. \forall R : Z \rightarrow Z' \rightarrow \text{Type}. \\ \forall \text{addZ} : Z \rightarrow Z \rightarrow Z. \forall \text{addZ}' : Z' \rightarrow Z' \rightarrow Z'. \\ (R ==> R ==> R) \text{ addZ} \text{ addZ}' \rightarrow \\ \forall \text{mulZ} : Z \rightarrow Z \rightarrow Z. \forall \text{mulZ}' : Z' \rightarrow Z' \rightarrow Z'. \\ (R ==> R ==> R) \text{ mulZ} \text{ mulZ}' \rightarrow \\ (R * R ==> R * R ==> R * R) \\ (addQ Z \text{ addZ} \text{ mulZ}) (addQ Z' \text{ addZ}' \text{ mulZ}').$$

Soundness of polyfication

Lemma polyficationP (R : comRingType) (env : seq R) N p : size env == N ->
PExpr_to_Expr env p = Nhorner env (PEExpr_to_poly N p).

Proof.

elim: p=> [n|n|p IHp q IHq|p IHp q IHq|p IHp|p IHp n] /=.

- **by** rewrite NhornerE !rmorph_int.

- **rewrite** NhornerE; **elim:** N env n=> [[N IHN] [|a env] [|n] // = senv.

by rewrite map_polyX hornerX [RHS]NhornerRC.

by rewrite map_polyC hornerC !IHN.

- **by** move=> senv; **rewrite** (IHp senv) (IHq senv) !NhornerE !rmorphD.

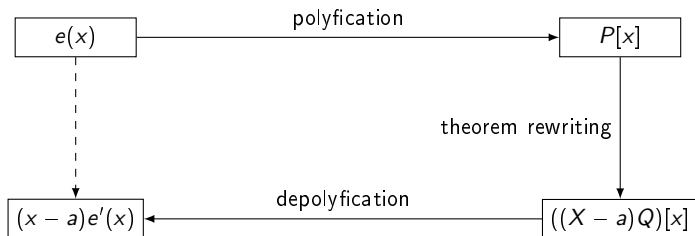
- **by** move=> senv; **rewrite** (IHp senv) (IHq senv) !NhornerE !rmorphM.

- **by** move=> senv; **rewrite** (IHp senv) !NhornerE !rmorphN.

- **by** move=> senv; **rewrite** (IHp senv) !NhornerE !rmorphX.

Qed.

Example of user-defined operation: factoring



Where $P[a] = 0$.